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CONFIDENTIAL

PAGE 01 MOSCOW 12022 291837Z

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AMEMBASSY PARIS

AMEMBASSY PRAGUE

AMEMBASSY ROME

AMEMBASSY SOFIA

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AMEMBASSY WARSAW

C O N F I D E N T I A L MOSCOW 12022

E.O. 11652: GDS

TAGS: PFOR, UR, US, CSCE

SUBJ: SOVIET CSCE IMPLEMENTATION ON THE HELSINKI ANNIVERSARY

1. SUMMARY. YURIY ZHUKOV'S HELSINKI ANNIVERSARY ARTICLE

IN PRAVDA JULY 29 PRESENTS A LIST OF SOVIET "CSCE IMPLE-

CONFIDENTIAL

CONFIDENTIAL

PAGE 02 MOSCOW 12022 291837Z

MENTATION" MEASURES -- CITING, AMONG OTHER THINGS, THE SOVIET-

GDR TREATY AND BLOC SUMMITRY WHICH DOES NOT COME TO MUCH AND WHICH INCLUDES A WHOLE ARRAY OF ACTIONS WHICH THE KREMLIN WOULD HAVE UNDERTAKEN WHETHER THE FINAL ACT EXISTED OR NOT. WE ARE STRUCK BY ZHUKOV'S DEFENSIVENESS AND ESPECIALLY BY THE FACT THAT THE PROGRAM OF SMALL BUT GENUINELY POSITIVE STEPS WHICH MOSCOW TOOK AT THE TURN OF THE YEAR TO IMPLEMENT THE FINAL ACT HAS ALL BUT EVAPORATED SINCE THE 25TH CONGRESS IN FEBRUARY. IT MAY BE WORTH CONSIDERING WHETHER IT WOULD BE OF ANY VALUE TO ENCOURAGE THE SOVIETS IN OUR DIALOGUE ON CSCE TO RESUME SMALL STEPS AT A STEADY PACE IN ORDER TO AVOID THE KANGAROO COURT AT BELGRADE THEY ARE NOW BEGINNING TO FEAR. WE MIGHT ARGUE THAT THEY STAND TO GAIN BY GUILDING A RECORD WHICH IS NOT ONLY SOLID IN THEIR OWN EYES, BUT CONSISTENT AND DEFENSIBLE TO WESTERN PUBLIC OPINION. END SUMMARY.

2. HELSINKI ANNIVERSARY ARTICLE BY YURIY ZHUKOV IS AN EXCEPTIONALLY CLEAR EXPOSITION OF WHAT SOVIETS CONSIDER THEY HAVE DONE TO IMPLEMENT THE FINAL ACT. AND,JUST BECAUSE IT IS SO STANDARD, IT IS ALSO AN ILLUSTRATION OF THE DEFENSIVE MODE IN WHICH THE SOVIETS FIND THEMSELVES ON CSCE MATTERS A YEAR AFTER HELSINKI.

3. FIRST PORTION IS BUILDUP OF CREDENTIALS FOR CURRENT SOVIET APPROACH TO EUROPEAN SECURITY MATTERS: THERE HAS BEEN A "BASIC TURN" IN "FATE OF OUR OLD CONTINENT," BEGINNING WITH OCTOBER REVOLUTION AND CREATION OF TWO KINDS OF STATES IN EUROPE. THIS WAS TRANSLATED AS PEACEFUL COEXISTENCE PRINCIPLE INTO SOVIET STATE POLICY AT GENOA CONFERENCE IN 1922. ACCORDING TO ZHUKOV, HELSINKI IS REALLY "THE CHARTER OF PEACEFUL COEXISTENCE," JUST AS "MANY PUBLICISTS" CLAIM, AND EVERY ONE OF THE FINAL ACT PRINCIPLES COLLECTIVELY ACCEPTED BY THE PARTICIPATING STATES "CORRESPONDS IN SPIRIT AND IN LETTER TO THE REQUIREMENTS OF PEACEFUL COEXISTENCE."

4. MORE INTERESING IS BLOW-BY-BLOW RUNDOWN OF "TITANIC LABOR" BY USSR AND OTHER "SOCIALIST" STATES OF EUROPE TO IMPLEMENT HELSINKI OBLIGATIONS OVER PAST YEAR. IN CONFIDENTIAL

CONFIDENTIAL

PAGE 03 MOSCOW 12022 291837Z

ORDER OF APPEARANCE, IMPLEMENTATION HAS CONSISTED OF:

-- BILATERAL AND MULTILATERAL MEETINGS OF "SOCIALIST" PARTY AND STATE LEADERS AT HIGHEST LEVEL, WITH SOVIET-GDR TREATY OF OCTOBER 1975 GIVEN AS EXAMPLE OF THIS KIND OF "COOPERATION";

-- "PRINCIPLED AND PURPOSEFUL" EFFORTS OF "SOCIALIST"

COUNTRIES TO MAKE THE HELSINKI PRINCIPLES A BASIC PART OF RELATIONS WITH "CAPITALIST" COUNTRIES THROUGH HIGH-LEVEL VISITS (PORTUGAL, FRANCE, FRG, ITALY, FINLAND, SECRETARY KISSINGER "AND FONMINS OF MANY WE STATES" IN SOVIET CASE) AND VARIOUS JOINT INSTRUMENTS (FOR USSR, DECLARATIONS WITH FRANCE AND ITALY, PNE TREATY WITH U.S., AGREEMENT ON NUCLEAR ACCIDENTS WITH FRANCE);

-- MEASURES TO INCREASE CONFIDENCE: MANEUVER NOTIFICATIONS AND OBSERVER INVITATIONS;

-- DEVELOPMENT OF ECONOMIC TIES ON FIRM BASIS OF LARGE-SCALE, LONG-TERM COMPENSATION AGREEMENTS, TOPPED OFF BY PROPOSAL FOR CONFERENCE OR INTERNATIONAL MEETINGS ON ENERGY, TRANSPORT AND THE ENVIRONMENT; AND

-- BRINGING UP THE REAR, DEVELOPING SCIENTIFIC AND CULTURAL COOPERATION, EXCHANGE OF INFORMATION AND CONTACTS BETWEEN PEOPLE, WHICH "SHOW THE FRUITFUL INFLUENCE OF THE POLITICAL DETENTE TAKING PLACE IN EUROPE."

5. LAST SECTION, WHICH COMBINES PLEDGE OF FURTHER EFFORTS ON EASTERN SIDE, STRESSING ARMS CONTROL, AND FINAL COLUMN ON ANTI-DETENTE FORCES IN WEST, IS OF INTEREST LARGELY BECAUSE ZHUKOV ADDS A NEW CATEGORY TO STANDARD DIVISION OF WESTERN OPINION INTO ANTI-COMMUNISTS AND REALISTIC THINKERS: THESE ARE THE SUPPORTERS OF "SO-CALLED 'COLD PEACE" WHO UNDERSTAND THAT OLD-STYLE ANTI-COMMUNISM WILL NO LONGER WORK AND THUS ADOPT MORE CLEVER METHODS TO DISCREDIT THE ESSENCE OF DETENTE AND SLOW DOWN THE IMPLEMENTATION OF THE AGREEMENTS ACHIEVED AT HELSINKI.

6. COMMENT. FORCED BY THE ANNIVERSARY TO SWOT UP A LIST
CONFIDENTIAL

CONFIDENTIAL

PAGE 04 MOSCOW 12022 291837Z

OF SIVJET IMPLEMENTATION MEASURES OVER THE PAST YEAR, ZHUKOV HAS NOT COME UP WITH MUCH, AND THAT LITTLE IS HEAVILY COMPOSED OF THINGS THE SOVIETS WOULD HAVE DONE ANYWAY, E.G. SUMMITRY, BEGINNING WITH THEIR ALLIES, TROTTED FORTH UNDER THE CSCE LABEL. EVEN MORE STRIKING, FROM OUR VANTAGE POINT, IS THE MEAGERNESS OF THE IMPLEMENTATION PROGRAM CURRENTLY UNDERWAY. THEIR DEFENSIVENESS BEGAN AS A CHARACTERISTIC SOVIET REACTION TO THE NEGATIVE WESTERN REACTION TO SCCE, AND HAS CONTINUED UNABATED. AT THE TURN OF THE YEAR, HOWEVER, IT WAS SUPPLEMENTED BY A PROGRAM OF SMALL POSITIVE STEPS--THE BREZHNEV CONFERENCES PROPOSAL, THE FIRST MANEUVER NOTIFICATION AND OBSERVER INVITATION, REDUCTION IN THE PRICE OF THE EMIGRATION PASSPORT, SOME SIGNS OF FLEXIBILITY ON EMIGRATION DECISIONS AND PROCEDURES, TRAVEL,

AND WESTERN NEWSPAPERS--WITH SUGGESTIONS THAT OTHERS WERE IN THE WORKS. IT APPEARS TO US THAT THE REAL CHANGE IN THE SOVIET APPROACH WHICH HAS TAKEN PLACE OVER THE LAST FIVE MONTHS IS THE EVAPORATION OF THIS PROGRAM, WHICH LEAVES BEHIND ONLY THE MEAN-MINDED CARPING OF PUBLICISTS LIKE ZHUKOV AND MEDIA EXPOSES OF HOW MANY MORE BOOKS, FILMS AND TOURISTS THE USSR CAN POINT TO THAN THE WEST.

7. WE ARE UNCERTAIN WHAT HAS CAUSED THIS PROGRAM TO EVAPORATE. ONE POSSIBILITY IS THAT THE SOVIETS ARE SAVING UP WHATEVER THEY CAN MUSTER IN THE WAY OF FURTHER IMPLEMENTATION, BY WESTERN STANDARDS, FOR RELEASE IN THE MONTHS JUST PRECEDING THE BELGRADE MEETING. WE KNOW THEY EXPECT TO BE PILLORIED AND DISLIKE THIS PROSPECT. IT MAY ALSO BE THAT THE CRITICISM BY WESTERN COMMUNIST PARTIES OF SOVIET PRACTICES (LABOR CAMPS, PLYUSHCH) HAS BEEN HARDER FOR THE SOVIETS TO HANDLE THAN THE NEGATIVE REACTION OF WESTERN GOVERNMENTS AND OTHER ELEMENTS OF WESTERN PUBLIC OPINION, SINCE IT IS SO MUCH MORE DIFFICULT TO TAR COMMUNISTS WITH THE "ANTI-DETENTE" LABEL EVEN WHEN IT IS MORE JUSTIFIED. IF SO, WESTERN COMMUNISTS MAY HAVE HAD SOME ROLE IN FREEZING THE SOVIET CSCE IMPLEMENTATION MACHINERY FOR THE TIME BEING. POSSIBLY, ALSO, THE "PROGRAM OF SMALL STEPS AT YEAR'S END WAS DEVELOPED AGAINST THE 25TH CPSU CONGRESS DEADLINE, FOR FEBRUARY, AND GROUND TO A HALT

CONFIDENTIAL

CONFIDENTIAL

PAGE 05 MOSCOW 12022 291837Z

ONCE THE DEADLINE WAS PAST.

8. WE WOULD WELCOME WASHINGTON ANALYSIS OF THIS PHENOMENON. WHATEVER THE CAUSES, HOWEVER, IT IS WORTH CONSIDERING WHAT STEPS WE MIGHT TAKE TO ENCOURAGE THE SOVIETS TO RESUME THE POSITIVE PART OF THEIR CSCE APPROACH. ONE CONCEIVABLE ARGUMENT TO MAKE TO THEM MIGHT BE THAT A FLURRY OF IMPLEMENTATION MEASURES ON THE EVE OF BELGRADE MIGHT BE TOO LITTLE AND TOO LATE TO AVOID THE KIND OF KANGAROO COURT THEY EVIDENTLY FEAR, AND THAT IT WOULD BE IN THEIR OWN INTERESTS TO CONTINUE AT A STEADY PACE TO PUT INTO EFFECT MEASURES WHICH FALL DEMONSTRABLY IN THE CATEGORY OF CSCE IMPLEMENTATION BY THE CURRENT STANDARDS OF WESTERN PUBLIC OPINION AND TO BUILD A RECORD FOR BELGRADE WHICH IS NOT ONLY SOLID BUT, AS THEY THEMSELVES SAY, CONSISTENT OVER TIME.

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